

ATTORNEY'S DOCKET NO: C1104/7075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kevin J. Dowling and George G. Mueller

Serial No:

09/917,294

Filed:

July 26, 2001

For:

LIGHTING CONTROL USING SPEECH RECOGNITION

Examiner:

Unassigned

Art Unit:

2632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 5th day of November.

Janine Michalski

BOX: MISSING PARTS

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents in the above-identified application.

- [X] RESPONSE TO THE NOTICE TO FILE MISSING PARTS
- [X] COPY OF NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
- [X] DECLARATION FOR PATENT APPLICATION
- [X] LETTER TO THE OFFICIAL DRAFTSPERSON
- [X] TWO (2) SHEETS OF FORMAL DRAWINGS (FIGS. 1-3)
- [X] RETURN RECEIPT POSTCARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$435.00 is enclosed to cover the basic filing fee and the surcharge. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Kevin J. Dowling and George G. Mueller

By:

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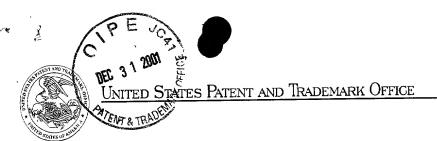
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Docket No. C1104/7075 Dated: November 5, 2001 X (11/5/01)

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/917,294

07/26/2001

Kevin J. Dowling

C01104/70075

CONFIRMATION NO. 8108

FORMALITIES LETTER *OC000000006514266*

23628 WOLF GREENFIELD & SACKS, PC FEDERAL RESERVE PLAZA 600 ATLANTIC AVENUE BOSTON, MA 02210-2211

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

01/02/2002 SMINASSI 00000044 09917294

01 FC:201

370.00 OP

65.00 DP

02 FC:205



A copy of this notice \underline{MUST} be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE